

FORM PTO-1390 (Modified)  
(REV. 07-2004)

U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

147-04

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/516900**

INTERNATIONAL APPLICATION NO.

**PCT/US2003/027932**

INTERNATIONAL FILING DATE

**September 8, 2003**

PRIORITY DATE CLAIMED

**September 9, 2002**

TITLE OF INVENTION

**Whale-Safe Rope**

APPLICANT(S) FOR DO/EO/US

**Norman L. Holy**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☒ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

**Items 13 to 23 below concern document(s) or information included:**

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV 281506489 US**
23. ☐ Other items or information:

Page 2 of 2

10/516900

DT05 Rec'd PCT/PTO 03 DEC 2004

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No.: Unassigned  
Filed: Herewith  
For: Whale-Safe Rope  
Inventor: Norman L. Holy  
Atty. Doc. No.: 147-04

"EXPRESS MAIL" MAILING LABEL NO:

EV 281506489 US

DATE OF DEPOSIT December 3, 2004

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED  
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NAME OF PERSON MAILING PAPER OR FEE

Louise DiSalvo

SIGNATURE

*Louise DiSalvo*

**EXPRESS MAIL COVER LETTER**

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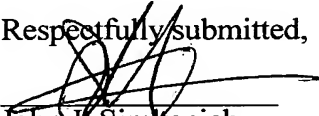
Sir:

Enclosed herewith are the following:

- (1) Express Mail Cover Letter;
- (2) Transmittal to the U.S. Designated/Elected Office;
- (3) International Publication WO 2004/021771 A2;
- (4) Declaration and Power of Attorney;
- (5) International Search Report;
- (6) Notification of Transmittal of International Search Report;
- (7) Assignment (signed);
- (8) PTO Form 1595;
- (9) Check in the amount of \$395.00 (filing fee);
- (10) Check in the amount of \$40.00 Assignment;
- (11) Paul & Paul postcard to be returned by the PTO.

THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE ANY ADDITIONAL FEES  
ASSOCIATED WITH THIS COMMUNICATION, OR CREDIT ANY OVERPAYMENT, TO PAUL &  
PAUL DEPOSIT ACCOUNT NO. 16-0750, ORDER NO. 2762

Respectfully submitted,

  
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